SEC Form 4

[]]

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287									
Estimated average burden									
hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person [*] PLANT JOHN C					2. Issuer Name and Ticker or Trading Symbol Howmet Aerospace Inc. [HWM]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
					1	<u>Hownet Herospace me.</u> [Hown]							r	10% Owner		wner		
(Last)		(First)	(Middle)		3. Date	e of Earliest Transa	action (N	onth/[Dav/Year)		— x	X Officer (give title below)			Other (specify below)			
201 ISABELLA STREET						06/09/2021						Chairman and Co-CEO						
SUITE 200																		
(Street)						4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)						
PITTSBURGH PA 15212-5872											X	Form fi	led by One	Reporti	ng Perse	on		
													led by More	e than C	ne Repo	orting		
(City)		(State)	(Zip)									Person						
			Table I - No	n-Deriv	vative S	Securities Acc	quired	, Dis	posed of,	or Ben	eficially	Owned						
1. Title of Security (Instr. 3) 2. Transa Date (Month/D					2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			and 5) Securities Beneficially Owned Followi		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
					Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)				
Common	Stock			06/09	/2021		М		161,667	A	(1)	1,19	1.966	Ι)			
Common Stock 06				06/09	/2021		F		70,293 ⁽²⁾	D	\$35.03	1,12	1,673	Ι)			
Common Stock											500	,463	1	[By Trust ⁽³⁾			
			Table II ·			curities Acqu Ills, warrants,	,		,			wned						
1. Title of 2. 3. Transaction 3A. Deemed 4						5. Number of	6. Date F	xercis	able and 7.	Title and	Amount	8. Price of	9. Number	rof 1	.0.	11. Nature		

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Transaction Code (Instr. 8) 8) Acquired (A or Dispose of (D) (Instr. 3, 4 and 5)		vative urities uired (A) isposed D) (Instr.	6. Date Exerc Expiration Da (Month/Day/\	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units ⁽⁴⁾	(1)	06/09/2021		М			161,667	(4)	(4)	Common Stock	161,667	\$0	3,089,999	D	

Explanation of Responses:

1. Each restricted stock unit converted into common stock on a one-for-one basis.

2. Represents payment of tax liability by delivering or withholding shares incident to the vesting of a stock award issued in accordance with Rule 16b-3.

3. Shares held in trusts, each of which the reporting person is the trustee and beneficiary.

4. Reflects vesting of one-third of the restricted stock units granted on June 9, 2020 in accordance with their terms.

<u>/s/ Margaret Lam (Assistant</u> <u>Secretary), Attorney-in-fact</u>

06/11/2021

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.