| SEC Form 4 |
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FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | | | |
| hours per response | . 0.5 | | | | | | | | | |

| to Section 16. Frobligations may Instruction 1(b). | | File | d pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | 1 | | Estimated ave hours per resp | 0 | 0.5 | |
|---|-------------------|-------------------|--|------------------------|--------------------------|---|--------------|------|--|
| 1. Name and Addre | 1 0 | 'son [*] | 2. Issuer Name and Ticker or Trading Symbol <u>Howmet Aerospace Inc.</u> [HWM] | | all applicab Director | , | | wner | |
| (Last) 201 ISABELLA SUITE 200 | (First) STREET | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 08/06/2020 | X | below) | | | пу | |
| (Street) PITTSBURGH | РА | 15212-5872 | 4. If Amendment, Date of Original Filed (Month/Day/Year) 08/10/2020 | 6. Indiv Line) X | Form filed | nt/Group Filing I by One Repor I by More than | rting Person | | |
| (City) | (State) | (Zip) | | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | | 4. Securities Disposed Of (5) | | | Securities Beneficially | (D) or Indirect | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|------|---|--------------------------------------|---------------|--------|------------------------------------|-----------------|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock | 08/06/2020 | | М | | 608,332 | Α | (1)(2) | 1,028,600 | D | |

| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | |
|---|---|--|---|------------------------------|---|-----------------|-----|--|--------------------|--|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | 5. Number of | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | nd 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Each restricted stock unit converted into common stock on a one-for-one basis.

2. On August 10, 2020, the reporting person filed a Form 4 which inadvertently reported that the conversion of his restricted stock units into common stock had a price of \$17.28. In fact, as reported in this amendment, the reporting person did not pay cash for the shares of common stock since such shares were converted on a one-for-one basis from restricted stock units.



** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

08/11/2020