FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Washington, D.C. 20049 | OMB APPROVAL | | | |
|--|--------------|--------|--|--|
| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP | OMB Number: | 3235-0 | | |

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Bottger Graeme (Last) (First) (Middle) | | | | | 3. D | Susuer Name and Ticker or Trading Symbol ALCOA INC [AA] Date of Earliest Transaction (Month/Day/Year) 01/28/2013 | | | | | | | | | | all app Direct Office below | er (give title v) | | 10% C Other (below) | owner (specify |
|---|--|---------|---------------|-------|----------------|---|--------------|--|-------------------------------------|---|---------------------|---|-----------------|---|---------|--|---|---|--|--|
| (Street) | JRGH PA | ate) (3 | .5212 Zip) | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | l | Line) X | Vice President and Controller ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| Table I - Non-Deriv 1. Title of Security (Instr. 3) 2. Trans Date (Month/l | | | action | | | | 3. Transa | 3. 4. Securit Transaction Disposed Code (Instr. 5) | | of, or Beneficities Acquired (A) of Of (D) (Instr. 3, 4 a | | | r | 5. Amount of Securities Beneficially Owned Following Reported | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | | | | 01/28 | 01/28/2013 | | | | Code | v | Amount | (D) | | Pric | | Transaction(s) (Instr. 3 and 4) | | | D | |
| | Common Stock | | | 01/20 | , 2 010 | | | | | | 1,100 | | | | ,,,, | 16,045 | | | | By Company 401(k) Plan |
| | | Та | | | | | | | | | sed of, onvertib | | | | | wned | | | | |
| 1. Title of Derivative Security (Instr. 3) | rivative Conversion Date Execution Date curity or Exercise (Month/Day/Year) if any | | | Date, | Code (Instr. | | n of | | 6. Date E Expiration (Month/E | n Date | • | e and 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) | | | | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | D O (I | 0. Ownership Form: Direct (D) or Indirect I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | Code | v | (A) | (D) | Date Exercisa | | Expiration Date | Title | or Nui of | nber ires | | | | | | |

Explanation of Responses:

Remarks:

Brenda Hart (Assistant Secretary), by power of attorney

01/30/2013

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.