## FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| STATEMENT OF CHANGES IN BENEFICIA | ۱L |
|-----------------------------------|----|
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OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Ashooh Nicholas J  |   |  |  |    |  | 2. Issuer Name and Ticker or Trading Symbol ALCOA INC [ AA ] |      |  |        |                 |  |                                   |                       | k all appl<br>Direct<br>Office                                | or<br>r (give title  |   | 10% Ov<br>Other (s   | ner   |  |
|--|---|--|--|----|--|--|------|--|--------|-----------------|--|-----------------------------------|-----------------------|---|--|---|--|---|--|
| (Last) (First) (Middle) 390 PARK AVENUE  |   |  |  |    |  | 3. Date of Earliest Transaction (Month/Day/Year) 05/31/2013  |      |  |        |                 |  |                                   |                       | X Officer (give title Officer (specify below)  Vice President |  |   |  |   |  |
| (Street) NEW YORK NY 10022  (City) (State) (Zip)   |   |  |  |    | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |      |  |        |                 |  |                                   | 6. Indi<br>Line)<br>X | -/  |  |   |  |   |  |
| (0.9)  |   |  |  |    |  |  |      |  |        |                 |  |                                   |                       |   |  |   |  |   |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |   |  |  |    |  |  |      |  |        |                 |  |                                   |                       |   |  |   |  |   |  |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Da  |   |  |  |    | Execution Date   |  |      | r, Transaction Disposed (<br>Code (Instr. 5)                   |        |                 | rities Acqui<br>ed Of (D) (In  |                                   |                       | 5. Amou<br>Securiti<br>Benefic<br>Owned<br>Reporte            | es Formially (D) (Following (I) (I   |   | n: Direct<br>r Indirect<br>istr. 4)                                      | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|  |   |  |  |    |  |  | Code | v  | Amount | ount (A) or (D) |  | ice                               | Transac<br>(Instr. 3  | ction(s)  |  |   | (  |   |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |  |  |    |  |  |      |  |        |                 |  |                                   |                       |   |  |   |  |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Dat<br>if any<br>(Month/Day/Yo | Co | insactio<br>de (Insti                                    |  |      | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |        |                 | 7. Title an<br>Amount o<br>Securities<br>Underlyin<br>Derivative<br>(Instr. 3 ar | f<br>g<br>Securi                  | De<br>Se<br>(Ir       | Price of<br>erivative<br>ecurity<br>estr. 5)                  | 9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | , | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)                 |  |
|  |   |  |  | Co | de V   | (A)  | (D)  | Date<br>Exercisable  |        | piration<br>ate | Title  | Amou<br>or<br>Numb<br>of<br>Share | er                    |   |  |   |  |   |  |
| Phantom<br>Stock   | (1)   | 05/31/2013                                 |  | A  | 1  | 34   |      | (2)  |        | (2)             | Common<br>Stock  | 34                                |                       | \$8.53  | 2,794  |   | D  |   |  |

## **Explanation of Responses:**

- 2. Phantom stock units were acquired under the Alcoa Deferred Compensation Plan and are to be paid out in cash after termination of employment. The reporting person may transfer the phantom stock into an alternative investment account under the Plan at times permitted under the Plan.

## Remarks:

Brenda Hart (Assistant Secretary), by power of

06/03/2013

attorney

\*\* Signature of Reporting Person Date

**OWNERSHIP** 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.