## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, I | D.C. | 20549 |
|---------------|------|-------|
|---------------|------|-------|

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Bottger Graeme</u>   |                 |            |                   |                        |   | 2. Issuer Name and Ticker or Trading Symbol ALCOA INC [ AA ] |         |            |  |               |                               |   |                                |                    | k all appl<br>Direct  | or  |   | 10% Ov   | vner   |  |
|--|-----------------|------------|-------------------|------------------------|---|--|---------|------------|--|---------------|-------------------------------|---|--------------------------------|--------------------|---|-----|---|--|--|--|
| (Last) 201 ISAI  | (FI<br>BELLA ST |            | (Middle)          |                        | 3. Date of Earliest Transaction (Month/Day/Year) 11/23/2012 |  |         |            |  |               |                               |   |                                |                    | Officer (give title below)  Vice Presider   |     | Other (s<br>below)<br>I Controlle   |  |  |  |
| (Street) PITTSBI   | URGH PA         |            | 15212<br>(Zip)    |                        | 4. If   | 4. If Amendment, Date of Original Filed (Month/Day/Year)     |         |            |  |               |                               |   |                                | Line)              | Individual or Joint/Group Filing (Check Applicable ne)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |     |   |  |  |  |
|  |                 | Tab        | le I - Non        | -Deriv                 | ative   | Sec  | curitie | es A       | cquired,                               | Dis           | posed                         | of, or Be   | enefi                          | cially             | Owne  | d   |   |  |  |  |
| 1. Title of Security (Instr. 3)  2. Transa Date (Month/D   |                 |            |                   | Execution              |   |  | on Date | ar) Code ( | Instr.                                 | Dispose<br>5) | rities Acqui<br>ed Of (D) (In | str. 3,   | 4 and                          | Reporte<br>Transac | ities For<br>icially (D)<br>d Following (I) (   |     | n: Direct<br>or Indirect<br>nstr. 4)  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)        |  |  |
|  |                 |            |                   |                        |   |  |         | Code       | V                                      | Amount        | nt (A) or (D)                 |   | rice                           |                    |   |     |   |  |  |  |
|  |                 | Т          | able II - D<br>(6 |                        |   |  |         |            | uired, D<br>s, optior                  |               |                               |   |                                |                    | Owned   |     |   |  |  |  |
| 1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  (Instr. 3)  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year) |                 |            |                   | Date, Transa<br>Code ( |   |  |         |            | 6. Date Ex.<br>Expiration<br>(Month/Da | Date          |                               | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Secur<br>(Instr. 3 and 4) |                                | D<br>S<br>(I       | Price of<br>erivative<br>ecurity<br>nstr. 5)  |     | Over the second of the second | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |                 |            |                   |                        | Code  | v  | (A)     | (D)        | Date<br>Exercisabl                     |               | xpiration<br>ate              | Title   | Amo<br>or<br>Num<br>of<br>Shar | ber                |   |     |   |  |  |  |
| Phantom<br>Stock   | (1)             | 11/23/2012 |                   |                        | A   |  | 196     |            | (2)                                    |               | (2)                           | Common<br>Stock   | 19                             | 6                  | \$8.305   | 931 |   | D  |  |  |

#### **Explanation of Responses:**

- 2. Phantom stock units were acquired under the Alcoa Deferred Compensation Plan and are to be paid out in cash after termination of employment. The reporting person may transfer the phantom stock into an alternative investment account under the Plan at times permitted under the Plan.

### Remarks:

Brenda Hart (Assistant Secretary), by power of

11/26/2012

attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.