SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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hours per response:	0.5

obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934								hours	s per re	sponse:	0.5								
					or	Sectio	n 30(h)) of the	e Ínvest	ment (Company A	ct of 1	1940						
1. Name and Address of Reporting Person*					2. Issuer Name and Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Oal Tolga I</u>					Howmet Aerospace Inc. [HWM]								ľ	X Direct	or		10% Ov	vner	
(1 1)	/=	· 4)	(b , 4); -1, -11, - ()				(F = all =			. () /	4 (D 0 /	>		_	X Officer	r (give title		Other (s below)	specify
(Last)	⊦ BELLA ST	,	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 12/31/2020								Co-Chief Executive Officer						
SUITE 2		KEEI																	
SUITE 2	.00					Amer	ndment	Date	of Oric	inal Fi	iled (Month	/Dav/\	(ear)	6	ndividual or	.loint/Grou	n Filin	n (Check Ar	onlicable
(Street)						7 41101		, Duio		Jinarr		Duy	(cur)	Lin	e)				
PITTSB	URGH PA	A	15212-58	72														orting Perso	
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(City)	(S	itate)	(Zip)																
		Tabl	e I - Nor	n-Deriv	ative	Sec	curitie	es Ac	cquire	ed, D	isposed	of,	or Bei	neficia	lly Owne	d			
1. Title of Security (Instr. 3) 2. Transa Date (Month/D				ar) E	A. Deer xecutio any Month/E	n Date	Code (Instr. 5)					Benefici Owned I	es ially Following	Form (D) o	r Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership			
					Code V Amount (A) or (D)					Price	Reporte Transac (Instr. 3	tion(s)			(Instr. 4)				
		т									sposed o , conver				y Owned		<u> </u>	I	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Da	Date,	4. Transaction Code (Instr 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)			Am See Un De	7. Title and Amount of Securities Underlying Derivative Securit (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	s Ily J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirec Beneficial Ownershi (Instr. 4)
				Γ					Ar		Amount								
					Code	v	(A)	(D)	Date Exerci	isable	Expiration Date	Titl		Number of Shares					
Phantom	(1)	12/31/2020			Α		384		(2	2)	(2)		mmon	384	\$28.46	7,640)	D	

Explanation of Responses:

Stock

1. Each phantom stock unit is the equivalent of one share of Howmet Aerospace common stock

2. Phantom stock unites were acquired under the Howmet Aerospace Deferred Compensation Plan and are to be paid out in cash at the termination of employment. The reporting person may transfer the phantom stock into an alternative investment account under the Plan at times permitted under the Plan.

/s/ Margaret Lam, Assistant	01/04/2021
Secretary / Attorney in Fact	01/04/2021
** Signature of Reporting Person	Date

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.