## FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|-------------|------|-------|

| STATEMENT | OF CHANGES  | IN BENEFICIAL  | OWNERSHIP     |
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|   | hours per response:    | 0.5       |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Collins Robert Sean</u> |  |               |                  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol ALCOA INC [ AA ] |       |  |   |                                   |   |   |   |                     |   | k all app<br>Dired                           | olicable)<br>ctor   | g Person(s) to Is  |  | wner  |  |       |     |
|---|--|---------------|------------------|---|-------|--|---|-----------------------------------|---|---|---|---------------------|---|--|---|--|--|---|--|-------|-----|
| (Last)<br>390 PAR   | `  | (First)<br>UE | 1)               | Middle)   |       |  | 3. Date of Earliest Transaction (Month/Day/Year) 01/27/2014 |                                   |   |   |   |                     |   |  |   | X  | belov  | er (give title<br>w)<br>ce Presiden                 | t and Co                                   | below |     |
| (Street)  NEW YO  (City)  |  | NY<br>(State  |                  | 0022<br>Zip)  |       | 4. If  | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |                                   |   |   |   |                     |   |  |   | 6. Indi<br>Line)<br>X  | ividual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person |   |  |       | son |
|   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |               |                  |   |       |  |   |                                   |   |   |   |                     |   |  |   |  |  |   |  |       |     |
| Date  |  |               |                  | nsaction<br>th/Day/Year)  |       | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)    |   | 3.<br>Transaction<br>Code (Instr. |   | 4. Securities Acquired (A<br>Disposed Of (D) (Instr. 3,<br>5) |   |                     |   |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following |  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |  |       |     |
|   |  |               |                  |   |       |  |   | Code                              | v   | Amount  |   | (A) or<br>(D)       | Pric  | e  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |  |  |   | (Instr. 4)                                 |       |     |
| Common  | Stock  |               |                  |   | 01/27 | /2014  | T   |                                   |   | F   |   | 1,328               |   | D  | \$1   | 1.64   | 35,3   | 319.88 <sup>(1)</sup>                               | D  |       |     |
| Common Stock  |  |               |                  |   |       |  |   |                                   |   |   |   |                     |   |  | 1   | 1,127  | I  |   | By Wife<br>in<br>Company<br>401(k)<br>Plan |       |     |
| Common Stock  |  |               |                  |   |       |  |   |                                   |   |   |   |                     |   | 3,978  |   | I  |  | By<br>Company<br>401(k)<br>Plan                     |  |       |     |
|   |  |               | Та               |   |       |  |   |                                   |   |   |   | sed of,<br>onvertib |   |  |   |  | wned   |   |  |       |     |
|   |  |               | Transa<br>Code ( |   |       | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |   |                                   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |   | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |                     | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s<br>(Instr. 4) | Owner<br>Form<br>Direct<br>or Inc<br>(I) (In | t (D)<br>lirect   | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |   |  |       |     |
|   |  |               |                  |   |       | Code   | v   | (A)                               | (D)   | Date<br>Exercisa  |   | Expiration<br>Date  | Tit   | OI<br>Ni<br>Of                               | umbei   |  |  |   |  |       |     |

## **Explanation of Responses:**

1. Includes shares acquired under the Alcoa Dividend Reinvestment Plan.

## Remarks:

Brenda Hart (Assistant Secretary), by power of attorney

01/29/2014

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).