SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] DOTY ELMER L			2. Issuer Name and Ticker or Trading Symbol <u>Arconic Inc.</u> [ARNC]		tionship of Reporting Perso all applicable) Director	on(s) to Issuer 10% Owner	
(Last) 390 PARK AVE			3. Date of Earliest Transaction (Month/Day/Year) 08/16/2019		Officer (give title below)	Other (specify below)	
(Street) NEW YORK,			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting		
(City)	(State)	(Zip)			Person	One Reporting	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	08/16/2019		М		101,122 ⁽¹⁾	A	(1)	113,827	D		
Common Stock	08/16/2019		F		37 , 910 ⁽²⁾	D	\$24.84	75,917	D		
Common Stock								6,000	Ι	By Trust ⁽³⁾	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Unit	(4)	08/16/2019		М			101,122	(5)	(5)	Common Stock	101,122	\$0	0 ⁽⁶⁾	D	

Explanation of Responses:

1. Acquisition of common stock upon vesting and settlement of restricted stock units. Each restricted stock unit converted into common stock on a one-for-one basis.

2. Represents payment of tax liability by delivering or withholding shares incident to the vesting of a stock award issued in accordance with Rule 16b-3.

3. Revocable living trust of which the reporting person and his spouse are trustees and beneficiaries, with voting and investment power.

4. Each restricted stock unit represents a contingent right to receive one share of common stock of Arconic Inc. ("Arconic") or, at Arconic's election, cash equivalent to the fair market value thereof.

5. On February 15, 2019, the reporting person was granted 385,000 restricted stock units, generally vesting in two equal installments on the first and second anniversary of February 6, 2019, contingent on the reporting person's continued service as Chief Operating Officer through each applicable vesting date and subject to prorated or full vesting upon the occurrence of certain events. On August 16, 2019, in connection with the reporting person's cessation of service as Chief Operating Officer of Arconic, 101,122 restricted stock units vested and the remaining 283,878 restricted stock units were forfeited. The vested restricted stock units were settled in shares of Arconic common stock as described above.

6. The amount of securities beneficially owned reflects the forfeiture of 283,878 restricted stock units in connection with the reporting person's cessation of service as Chief Operating Officer of Arconic, effective August 16, 2019. The acquisition of these restricted stock units was previously reported in Table II of the reporting person's Form 4 on February 19, 2019.

Remarks:

<u>/s/ Margaret Lam (Assistant</u> Secretary), by power of attorney

08/20/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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