### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	theck this box if no longer subject to ection 16. Form 4 or Form 5 bligations may continue. See Instruction 1(b).
--	--

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average burg	len									
hours per response:	0.5									

1. Name and Address of Reporting Person* KLEINFELD KLAUS			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				X	Director Officer (give title	10% Owner Other (specify		
		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/02/2013		below)	below)		
390 PARK AVE	ENUE		06/02/2013		Chairman and CEO			
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filing	g (Check Applicable		
NEW YORK	NY	10022		X	Form filed by One Rep	orting Person		
(City)	(State)	(Zip)			Form filed by More that Person	n One Reporting		
		Table I - Non-Deriva	ative Securities Acquired, Disposed of, or Benefi	cially (	Owned			

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Fitle of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	if any 🤺	3. Transa Code ( 8)	ction	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	<ul> <li>Reported Transaction(s) (Instr. 3 and 4)</li> </ul>	(Instr. 4)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Phantom Stock Units	(1)	08/02/2013		A		904		(2)	(2)	Common Stock	904	\$7.966	29,564 <sup>(3)</sup>	D	

**Explanation of Responses:** 

1.1 For 1

1.

2. Phantom stock units were acquired under the Alcoa Deferred Compensation Plan and are to be paid out in cash after termination of employment. The reporting person may transfer the phantom stock into an alternative investment account under the Plan at times permitted under the Plan.

3. As of 8/2/2013, the reporting person also held 3,544 phantom stock units under the Alcoa Deferred Fee Plan for Directors which are to be paid out in cash after Board service ends. **Remarks:** 

### Brenda Hart (Assistant Secretary), by power of

<u>attorney</u>

08/05/2013

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.